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APPLICATION FOR

UNITED STATES LETTERS PATENT

SPECIFICATION

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Title of the Invention: ELECTRONIC DATA STORAGE APPARATUS  
WITH KEY MANAGEMENT FUNCTION AND  
ELECTRONIC DATA STORAGE METHOD

**Electronic Data Storage Apparatus with Key Management Function and Electronic Data Storage Method**

**Background of the Invention**

5      **Field of the Invention**

The present invention relates to the security of electronic documents, and more specifically to an electronic data storage apparatus with a key management function and an electronic data storage method for guaranteeing the security of electronic data by changing the key used in a process of encrypting electronic data in document form in a local environment and a global environment.

With an increasing number of electronic transactions and of computers used in official fields, etc., important documents such as contracts, domicile certificates, etc. have come to be processed as electronic data through networks.

In common contracts and renewal procedures, the originals of documents (contracts, applications, receipts, etc.) and their copies (domicile certificates and their extracts, etc.) are often required. The originals and the copies can be clearly distinguished between them if they are printed on paper because the physical features of paper and ink

are different between the originals and the copies. On the similar ground, the originals could not be easily amended.

However, electronic documents are easily copied  
5 to have two same electronic documents, thereby causing the problem that the user cannot tell which is the original document. Therefore, there arises the case where an important document once represented by electronic data is printed onto paper for storage and  
10 transfer by mail.

When an important document is stored or transferred as an electronic document according to the conventional method, a common algorithm is used in an electronic data storage apparatus to guarantee the security by performing an encrypting process on the electronic data forming the document. There are two types of keys for use in the algorithm. One is a common encryption using a common key between a transmitter and a receiver of electronic data. The  
15 other is a public key encryption using a public key and a private key.  
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Thus, in the conventional technology, the security of an electronic document is guaranteed by using different keys in an encrypting process between  
25 the local environment for electronic data storage

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devices for storing the same type of electronic data and the global environment for a number of general electronic data storage devices for storing different types of electronic data. However, since a common  
5 algorithm is used in the electronic data storage device, the common key is accidentally used in the electronic data storage device in the global environment, and the public key can be used in the local environment.

10 As a result, there has been the problem that an authentication station required to manage the public key is operated even on an electronic data storage device to be used only in the local environment, or the reliability on all important documents is lost by  
15 the common key disclosed to the electronic data storage devices in the global environment.

#### **Summary of the Invention**

The present invention aims at providing an  
20 electronic data storage apparatus with a key management function capable of performing a key management process applicable to each environment by transmitting and receiving electronic data after performing an encrypting process on the electronic data using an individual key unique to an electronic  
25

data storage device when the device stores the electronic data, and after performing an encrypting process using a common key applicable to either a local environment or a global environment when  
5 electronic data is transmitted to or received from another electronic data storage device.

Another object of the present invention is to provide a method of storing electronic data with the security of the electronic data guaranteed by  
10 transmitting to or receiving from another electronic data storage device after re-encrypting using a common key the electronic data already encrypted using an individual key.

The electronic data storage apparatus according  
15 to the present invention includes a key management unit for managing an individual key unique to each electronic data storage apparatus, and a common key shared with other electronic data storage apparatuses; and an encryption unit for performing an encrypting process using the individual key on the electronic data stored in each electronic data storage apparatus,  
20 and performing an encrypting process using the common key or through data verification on the electronic data transmitted to or received from other electronic data storage apparatuses.  
25

The key management unit manages a individual key unique to the electronic data storage apparatus to which it belongs, and a common key shared with other electronic data storage apparatuses.

5       The encryption unit performs an encrypting process using an individual key on the electronic data stored in the electronic data storage apparatus to which it belongs, and performs an encrypting process or data verification using a common key on the  
10      electronic data transmitted to and received from other electronic data storage apparatuses.

As described above, an encrypting process can be performed using an individual key unique to each electronic data storage apparatus on the electronic  
15      data to be stored in it, and an encrypting process and data verification can be performed using a common key shared with other electronic data storage apparatuses on the electronic data transmitted to and received from the apparatuses.

20      The common key managed by the key management unit can also be a group key shared in a group of a plurality of electronic data storage apparatuses.

At this time, a main electronic data storage apparatus exists in a group, and its own encryption  
25      unit generates an individual key of each of the

electronic data storage apparatuses in the group using its own individual key. The generated individual key can be distributed to each electronic data storage apparatus, or a group key can be generated and  
5 distributed. Also, the group key can be generated and distributed by associating a key already assigned to the main electronic data storage apparatus with an externally specified new key.

Furthermore, there can be an electronic data storage and management apparatus for managing each of the main electronic data storage apparatuses of respective groups. The encryption unit of the apparatus can generate an individual key of each of the main electronic data storage apparatuses using its  
10 own individual key, and distribute the generated individual key to the main electronic data storage apparatuses.  
15

In addition to the group key, the key management unit can also manage a public key as a communications key for use in transmitting data to and receiving data from an electronic data storage apparatus belonging to a group different from the electronic data storage apparatus to which it belongs.  
20  
25

In addition to the individual key and the common key, the key management unit can also manage a master

key common in all electronic data storage apparatuses.

At this time, using the master key the encryption unit of each electronic data storage apparatus can generate an individual key by encrypting the information identifying the apparatus to which it belongs. When a main electronic data storage apparatus exists in a group, its encryption unit generates a group key by encrypting the information identifying the group using the individual key generated in the apparatus to which the encryption unit belongs, and the generated group key can be distributed to each of the electronic data storage apparatuses in the group.

Furthermore, a hierarchical structure in which a group of a plurality of electronic data storage apparatuses is defined as one hierarchical level is designed. In this structure, a key management unit can also manage a group key as a common key depending on the hierarchical level of the group of the electronic data storage apparatus to which the key management unit belongs. In a higher order group of electronic data storage apparatuses in the hierarchical structure, there can be an electronic data storage and management apparatus for managing the electronic data storage apparatuses in the group

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immediately below it. The electronic data storage and management apparatus can generate a group key corresponding to the hierarchical level immediately below it using its own individual key, and distribute  
5 the generated group key to the electronic data storage apparatuses in the group immediately below the group of the electronic data storage and management apparatus.

In the method of storing electronic data according to the present invention, the electronic data is communicated using a common key shared among electronic data storage apparatuses, and an encrypting process can be performed using the individual key unique to each electronic data storage apparatus on  
15 the data to be stored in its own apparatus.

In the method of storing electronic data according to the present invention, a group key can be stored as a common key to be shared in a group of a plurality of electronic data storage apparatuses.  
20 The electronic data encrypted in the transmitting electronic data storage apparatus using an individual key unique to the apparatus can be re-encrypted using a group key and transmitted to a receiving electronic data storage apparatus. The electronic data received  
25 by the receiving electronic data storage apparatus can

be verified using the group key. If the electronic data is correct according to the verification, the electronic data can be re-encrypted and stored by the receiving apparatus using the individual key unique to the apparatus.

In addition, a public key is stored as a common key to be shared between a electronic data storage apparatus in a group and another electronic data storage apparatus in a different group. Between the above described apparatuses, the transmitting apparatus re-encrypts and transmits, using a public key, the electronic data encrypted using an individual key and stored in the apparatus, verifies the electronic data received by the receiving apparatus using a private key which is a pair to the public key. If the data is correct according to the verification, then the electronic data can be stored after being re-encrypted using the individual key unique to the receiving electronic data storage apparatus.

A computer-readable storage medium used in the electronic data storage apparatus according to the present invention can store a program having the function of verifying the electronic data stored in the electronic data storage apparatus using an individual key unique to the apparatus; and the

function of transmitting the electronic data after re-encrypting it using a common key shared with a receiving apparatus if the data is correct according to the verification.

- 5       A computer-readable storage medium used in the electronic data storage apparatus according to the present invention can store a program having the function of verifying externally received electronic data using a common key shared with a transmitting apparatus; and the function of storing the electronic data after re-encrypting it using the individual key unique to the receiving apparatus if the data is correct according to the verification.
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15       **Brief Description of the Drawings**

The features and advantages of the present invention will be more clearly appreciated from the following description taken in conjunction with the accompanying drawings in which like elements are denoted by like reference numerals and in which:

20       FIG. 1 is a block diagram of the configuration showing the principle of the present invention;

25       FIG. 2 is a block diagram of the configuration of the electronic data storage apparatus according to the first embodiment of the present invention;

FIG. 3 is a flowchart of the entire process of the electronic data storage apparatus according to the first embodiment of the present invention;

5 FIG. 4 is a flowchart of the process of transmitting and receiving data between electronic data storage apparatuses belonging to the same group;

10 FIG. 5 is a flowchart of the process of transmitting and receiving data between electronic data storage apparatuses belonging to different groups;

FIG. 6 is a flowchart of the process of storing electronic data when an individual key is preliminarily assigned;

15 FIG. 7 is a flowchart of the process of managing an individual key of the electronic data storage apparatus by a group master;

FIG. 8 is a flowchart of the process of generating an individual key with two keys associated with each other;

20 FIG. 9 is a flowchart of managing a group key by a group master;

FIG. 10 is a flowchart of the process of generating a group key with two keys associated with each other;

25 FIG. 11 is a block diagram of the configuration

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of the electronic data storage apparatus according to the second embodiment of the present invention;

FIG. 12 is a flowchart of the process of generating an individual key using a master key  
5 according to the second embodiment of the present invention;

FIG. 13 is a flowchart of the process of generating a group key according to the second embodiment of the present invention;

10 FIG. 14 is a flowchart of the process of generating an individual key of a group master by a group management and electronic data storage apparatus;

FIG. 15 shows the hierarchy of groups;

15 FIG. 16 shows the communications of the electronic data storage apparatuses between a higher order group and a lower order group;

20 FIG. 17 is a flowchart of the process of transmitting data from a storage apparatus in a higher order group to a storage apparatus in a lower order group;

25 FIG. 18 is a flowchart of the process of transmitting data from a storage apparatus in a lower order group to a storage apparatus in a higher order group;

FIG. 19 shows the storage of an electronic document using an individual key;

FIG. 20 shows the process of transmitting and receiving data between two storage apparatuses  
5 belonging to the same group;

FIG. 21 shows the method of computing amendment detection information MAC;

FIG. 22 shows the method of generating a key;

10 FIG. 23 shows the generation and the distribution of a group key;

FIG. 24 shows the method of managing the entire system through group management SA when there are a plurality of groups each comprising a plurality of SAs; and

15 FIG. 25 shows the loading of a program onto the computer for realizing the electronic data storage apparatus according to the present invention.

#### Description of the Preferred Embodiments

20 FIG. 1 is a block diagram of the configuration showing the principle of the present invention. An electronic data storage apparatus 1 shown in FIG. 1 encrypts electronic data using an individual key unique to the apparatus, stores the data, and  
25 transmits and receives data using a common key

applicable to a local environment or a global environment when an electronic data storage apparatus transmits or receives data between another electronic data storage apparatus.

5       In FIG. 1, a key management unit 2 manages an individual key unique to the electronic data storage apparatus to which the unit belongs and a common key shared between the apparatus and another electronic data storage apparatus.

10      An encryption unit 3 performs an encrypting process using an individual key on the electronic data stored in the apparatus to which the unit belongs, and performs an encrypting process or data verification using a common key on the electronic data transmitted  
15      to and received from another electronic data storage apparatus.

As described above, according to the present invention, an encrypting process is performed on the electronic data to be stored in each apparatus using an individual key unique to the apparatus, and performs an encrypting process and verification on the electronic data transmitted to or received from another electronic data storage apparatus using a common key shared between the two apparatuses.

25      FIG. 2 is a block diagram of the configuration

of the electronic data storage apparatus with a key management function according to the first embodiment of the present invention. In the first embodiment of the present invention, an electronic data storage apparatus 10 stores three types of key, that is, an individual key, a group key, and a public key.

In FIG. 2, a control unit 11 controls the entire operation of the system. A key management unit 12 manages a key stored in the electronic data storage apparatus 10, and an encryption unit 13 generates a key, encrypts electronic data, and verifies the electronic data as necessary.

An individual key storage unit 14 stores an individual key unique to the electronic data storage apparatus 10 to which the unit belongs. A group key storage unit 15 stores a group key as a common key in a group of a plurality of electronic data storage apparatuses 10. A public key storage unit 16 stores a public key to be used when electronic data is transmitted to or received from an electronic data storage apparatus 10 belonging to another group.

The electronic data storage apparatus 10 further comprises a data storage unit 17 for storing electronic data, and a communications unit 18 for transmitting and receiving electronic data to and from

another electronic data storage apparatus. The communications unit 18 is connected to a network.

FIG. 3 is a flowchart of the entire process of the electronic data storage apparatus according to the 5 first embodiment of the present invention. In FIG. 3, when electronic data is input or, for example, an instruction to transmit electronic data is input in step S1, it is determined in step S2 whether or not the data is to be stored in the electronic data 10 storage apparatus. The instruction to transmit data input in step S1 is provided from the user of the storage apparatus or an application through, for example, a network.

When data is to be stored, an individual key 15 stored by the individual key storage unit 14 is selected by the key management unit 12 in step S3, and an encrypting process is performed on the electronic data by the encryption unit 13 using the individual key in step S4. In step S5, the data storage unit 17 20 stores the data, thereby terminating the process.

If data is not to be stored in step S2, it is determined in step S6 whether or not the instruction received in step S1 indicates the transmission and reception of data between electronic data storage 25 apparatuses in the same group. If yes, the key

management unit 12 selects a group key stored by the group key storage unit 15 in step S7, the encryption unit 13 performs an encrypting process using a group key in step S8, and the communications unit 18  
5 transmits electronic data in step S9, thereby terminating the process.

If it is determined in step S6 that data is not transmitted or received in the same group, it is further determined in step S11 whether or not data is  
10 to be transmitted or received between electronic data storage apparatuses belonging to different groups. If not, the process terminates without proceeding with the process. If yes, the key management unit 12 selects a public key from the public key storage unit  
15 16 in step S12, an encrypting process is performed using a public key in step S8, data is transmitted in step S9, thereby terminating the process.

FIG. 4 is a flowchart showing the details of the intra-group data transmission and reception process  
20 shown in FIG. 3. In FIG. 4, when an intra-group communications instruction is provided for the transmitting electronic data storage apparatus in step S15, data to be transmitted from the data storage unit 17 is selected in step S16, the key management unit 12 selects an individual key stored by the individual  
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key storage unit 14 in step S17, and the encryption unit 13 decrypts the electronic data and verifies the contents of the data using the individual key in step S18. The process performed by the encryption unit 13  
5 is described later in detail.

If it is determined as a result of the verification of the electronic data that the electronic data has not been amended, then the key management unit 12 selects a group key stored in the group key storage unit 15 in step S19, the encryption unit 13 encrypts the electronic data using the group key in step S20, and the communications unit 18 transmits the data to the receiving electronic data storage apparatus in step S21.  
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In the receiving electronic data storage apparatus, the communications unit 18 receives data in step S24, the key management unit 12 selects a group key stored by the group key storage unit 15 in step S25, and the encryption unit 13 decrypts the electronic data and verifies the contents of the data using the group key in step S26.  
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If it is determined as a result of the verification that the electronic data has not been amended, then the key management unit 12 selects an individual key stored by the individual key storage  
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unit 14 in step S27, the encryption unit 13 encrypts the electronic data using the individual key in step S28, and the data storage unit 17 stores the data in step S29, thereby terminating the process.

5 FIG. 5 is a flowchart of the process of transmitting and receiving data between electronic data storage apparatuses belonging to different groups. Described below are the portions different from those in the flowchart of the process of transmitting and receiving data between electronic data storage apparatuses in the same group as shown in FIG. 4. First, a transmitting electronic data storage apparatus receives an instruction to communicate with an electronic data storage apparatus belonging to a different group in step S31, and a process in steps S16 through S18 is performed similarly as in FIG. 4. Then, the key management unit 12 selects a public key stored by the public key storage unit 16 in step S32, an encrypting process is performed using the public key in step S33, and the result is transmitted to the receiving electronic data storage apparatus in step S21.

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In the receiving electronic data storage apparatus, the key management unit 12 selects a private key which is a pair to a public key stored in

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the public key storage unit 16 in step S36 after receiving data in step S24, and the encryption unit 13 decrypts the data and verifies the contents of the data using the public key encryption algorithm in step  
5 S37.

If it is verified that no amendments have been made to the electronic data, then the process in steps S27 through S29 is performed similarly as in FIG. 4, thereby terminating the process. In this case, an  
10 electronic document can be processed in a common method such as PEM (privacy enhanced mail) through which an electronic signature is transmitted using a private key from the transmitting apparatus and simultaneously a document encrypted using a public key  
15 is transmitted from the receiving apparatus. Otherwise, communications can also be established by temporarily sharing a session key based on the D-H (Diffie-Hellman system) in addition to the public keys of the transmitting apparatus and the receiving  
20 apparatus.

The PEM is an electronic mail system with enhanced security which is proposed as a preferred standard for the Internet. In the PEM, the DES (data encryption standard) process is used in encrypting a  
25 document. The PEM has the feature that a destination

can be authenticated.

The D-H method is a public key distribution method suggested by Diffie and Hellman, and has the feature of secretly sharing a key between two parties.

5       As described by referring to FIGs. 4 and 5, when data is transmitted and received between electronic data storage apparatuses in the same or different groups, the data stored after being encrypted using an individual key by the transmitting apparatus is transmitted after being re-encrypted using a group key for the same group, and using a public key for different groups. In the receiving apparatus, data is verified using a group key for the same group, and using a public key for different groups, and is then stored after being re-encrypted using an individual key. As a result, for example, although there is the possibility that a group key is disclosed, the electronic data stored in each electronic data storage apparatus can be secured.

10      The flowchart of generating and managing a key stored by each electronic data storage apparatus is described below by referring to FIGs. 6 through 10. FIG. 6 is a flowchart of the data storing process performed when an individual key of each electronic data storage apparatus is preliminarily assigned. A

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key preliminarily assigned to an electronic data storage apparatus refers to, for example, a key assigned to each apparatus when the electronic data storage apparatus is delivered for sale from a factory. Since the key is managed by its maker, it is called a maker key.

In FIG. 6, an electronic data storage apparatus having the function of managing a key is generated by its maker in step S40 at the delivery from the factory, and the maker generates a maker key for the electronic data storage apparatus in step S41. In step S42, the electronic data storage apparatus is delivered after the maker key is set in the individual key storage unit 14. The maker key is managed by the maker together with the identification information about the electronic data storage apparatus, for example, its ID.

When the electronic data storage apparatus is used, electronic data is received in step S44, the key management unit 12 selects the maker key stored by the individual key storage unit 14 in step S45, the encryption unit 13 encrypts electronic data using the maker key in step S46, and the data storage unit 17 stores the data in step S47, thereby terminating the process.

Thus, by using a maker key managed by the maker as an individual key of an electronic data storage apparatus, it is not necessary for a user to manage a key. In addition, the disclosure of the key can be minimized on the user side. Although the encryption unit 13 of the electronic data storage apparatus on the user side has become out of order, the data in the electronic data storage apparatus can be reconstructed using the maker key managed by the maker.

FIG. 7 is a flowchart of the process of managing an individual key of an electronic data storage apparatus by a main electronic data storage apparatus in a group, for example, a group master. When the process starts as shown in FIG. 7, a main electronic data storage apparatus, for example, a group master is determined in a group of a plurality of electronic data storage apparatuses in step S50. In step S51, an individual key of each electronic data storage apparatus belonging to the group is generated using the key of the group master. In step S52, the individual key of each electronic data storage apparatus generated by the group master is distributed. In step S53, each electronic data storage apparatus sets the distributed key in its individual key storage unit 14, thereby terminating

the process. The method of the group master generating each individual key and distributing the key is described later.

FIG. 8 is a flowchart of the process of generating an individual key with two keys associated with each other. The two keys refer to, for example, a key preliminarily assigned to an electronic data storage apparatus, and a newly specified key. The preliminarily assigned key is, for example, the above described maker key. The newly specified key is set by a manager who uses the electronic data storage apparatus, and is referred to as a manager key. Unlike a user, a manager can also sets an individual key and a group key. The user can only store, refer to, and transfer electronic data.

In FIG. 8, when an instruction to generate a new individual key is issued by a manager in step S55, the manager specifies a manager key in step S56, and an individual key is generated with the above described maker key associated with the manager key by the encryption unit 13 in step S57. In step S58, the key management unit 12 sets the generated individual key in the individual key storage unit 14, thereby terminating the process. The process of generating an individual key with a maker key associated with a

manager key is described later.

Thus, by associating a maker key with a manager key when an individual key of an electronic data storage apparatus is generated, a manager can manage 5 electronic data storage apparatuses depending on a change in organization, settings of a group, an environment, and an operation mode. Furthermore, when an encryption unit becomes out of order, the maker can reconstruct and verify data as described above.

FIG. 9 is a flowchart of managing a group key by 10 a group master. A group key is used in transmitting and receiving electronic data in a group as described above. The flowchart shown in FIG. 9 is the same as the flowchart of the process of managing an individual 15 key by a group master shown in FIG. 7.

That is, after determining a group master in step S60, a group key is generated by the group master in step S61. In step S62, the group key is distributed to the electronic data storage apparatuses in the 20 group. In step S63, each electronic data storage apparatus sets the distributed group key in its own group key storage unit 15, thereby terminating the process.

FIG. 10 is a flowchart of the process of 25 generating a group key with two keys associated with

each other as in FIG. 8 in which two keys refer to a maker key and a manager key.

The first two steps in FIG. 10 are the same as those in FIG. 8. Then, in step S66, the encryption unit 13 generates a group key with a maker key associated with a manager key. In step S67, the key management unit 12 sets a group key in the group key storage unit 15. In step S68, the group key is distributed to the electronic data storage apparatuses belonging to the group, thereby terminating the process. The process according to the flowchart is performed by, for example, the above described group master.

FIG. 11 is a block diagram of the configuration of the electronic data storage apparatus according to the second embodiment of the present invention. As compared with the configuration according to the first embodiment shown in FIG. 2, an master key storage unit 20 for storing a master key which is a common key shared by all electronic data storage apparatuses is the only difference from the configuration according to the first embodiment.

FIG. 12 is a flowchart of the process of generating an individual key using a master key according to the second embodiment of the present

invention. In FIG. 12, when an instruction to generate an individual key is received in step S70, the identification information about each electronic data storage apparatus, for example, an ID of the 5 electronic data storage apparatus, is obtained by the control unit 11 in step S71, and a master key stored in the master key storage unit 20 is obtained by the key management unit 12 in step S72. In step S73, the encryption unit 13 encrypts the electronic data 10 storage apparatus identification information using the master key, and an individual key is generated. The encrypting process is described later. Then, in step S74, the key management unit 12 sets the generated individual key in the individual key storage unit 14, 15 thereby terminating the process.

Thus, an individual key can be automatically generated by each electronic data storage apparatus by each apparatus generating each individual key using the master key shared by all electronic data storage 20 apparatuses. In addition, a maker of electronic data storage apparatuses can verify and reconstruct the stored data by referring to the identification information about each electronic data storage apparatus when, for example, its encryption unit 25 becomes out of order.

FIG. 13 is a flowchart of the process of generating and distributing a group key according to the second embodiment of the present invention. In this process, no master keys are used, and the similar 5 process can be performed according to the first embodiment of the present invention.

When an instruction to generate a group key is issued to a group master in step S75 shown in FIG. 13, the control unit 11 of the group master obtains group 10 identification information in step S76. The group identification information is an ID for identifying the group managed by the group master. In step S77, the key management unit 12 selects an individual key stored by the individual key storage unit 14, and the 15 encryption unit 13 generates a group key by encrypting the group identification information using the individual key in step S78. In step S79, the generated group key is distributed from the communications unit 18 to the electronic data storage 20 apparatuses in the group.

In the electronic data storage apparatus which belongs to the group and is managed by the group master, the communications unit 18 receives the group key in step S80a, and the key management unit 12 sets 25 the group key in the group key storage unit 15 in step

65 80 90 20 41 22 60

S80b, thereby terminating the process.

FIG. 14 is a flowchart of the process of generating an individual key of a group master by a group management and electronic data storage apparatus. A group management and electronic data storage apparatus manages main electronic data storage apparatuses in a plurality of groups, that is, manages a plurality of group masters. The group management and electronic data storage apparatus generates an individual key for each group master, and distributes it to the group master.

In instruction to generate an individual key of a group master is received in step S82. In step S83, group identification information is specified for each of a plurality of groups. In step S84, the key management unit 12 selects an individual key stored in the individual key storage unit 14. In step S85, the encryption unit 13 encrypts each piece of the group identification information using the individual key, and an individual key for each group master is generated. In step S86, the individual key is distributed to each group master, thereby terminating the process.

Described below is the hierarchy of groups. For example, in FIG. 3, a plurality of groups of

electronic data storage apparatuses are equal to each other according to the first and the second embodiments of the present invention. FIG. 15 shows the case in which a group is designed to form a hierarchy of higher and lower order groups.

In FIG. 15, a higher order group manages a lower order group to be managed. An electronic data storage apparatus (SA) belonging to the higher order group stores, for example, a higher order group key for the group to which it belongs, and a lower order group key which is a key of the lower order group which it manages. On the other hand, an electronic data storage apparatus belonging to the lower order group stores only the lower group key for the group to which it belongs. Then, for example, in the higher order group, the lower order group master SA for managing the lower order electronic data storage apparatuses generates a lower order group key and distributes it to the electronic data storage apparatuses SA in the lower order group. The SA is short for a secure archiver, and refers to an electronic data storage apparatus.

FIG. 16 shows the communicating method between two groups related in a hierarchical structure. The communications between the SAs in a higher order group

are established using a higher order group key whereas the communications between the SAs in a lower order group are established using a lower order group key. The communications between an SA of a higher order group, for example, an SA 1, and an SA of a lower order group, for example, an SA 2, are established through a lower order group master SA which is one the SAs of the higher order group and manages the SAs of the lower order group. The communications between the lower order group master SA and an SA belonging to the lower order group, for example, the SA 2 are established using a lower order group key.

If the lower order group master SA belongs to a management unit of an organization, then a hierarchical group can be realized by the SA of the management unit generating, distributing, and managing an individual key of an SA or a group key of each department, etc. The data stored in each SA can be verified by the management unit.

FIG. 17 is a flowchart of the process of transmitting data from an SA 1 in a higher order group to an SA 2 in a lower order group. When an instruction to transfer data from the SA 1 of the higher order group to the SA 2 of the lower order group is issued in step S91 shown in FIG. 17, the key

management unit 12 shown in FIG. 2 selects an individual key stored in the individual key storage unit 14 in step S92, and the encryption unit 13 decrypts and verifies data using the individual key.

5 Then, the key management unit 12 selects the higher order group key stored in the group key storage unit 15 in step S94. In step S95, the encryption unit 13 encrypts the electronic data using the higher order group key. In step S96, the encrypted electronic data

10 is transferred from the communications unit 18 to the lower order group master SA.

In the lower group master SA, the communications unit 18 receives the encrypted data in step S97, and the key management unit 12 selects the higher order group key stored in the group key storage unit 15 in step S98. In step S99, the encryption unit 13 decrypts and verifies the electronic data using the higher order group key. In step S100, the key management unit 12 selects the lower order group key stored in the group key storage unit 15. In step S101, the encryption unit 13 encrypts data using the lower order group key. In step S102, the communications unit 18 transfers the encrypted data to a lower order group SA 2.

25 In the lower order group SA 2, the communications

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unit 18 receives the encrypted data in step S103, and the key management unit 12 selects the lower order group key stored in the group key storage unit 15 in step S104. In step S105, the encryption unit 13  
5 decrypts and verifies the electronic data using the lower order group key. In step S106, the key management unit 12 selects the individual key stored in the individual key storage unit 14. In step S107,  
the encryption unit 13 encrypts data using the individual key. In step S108, the control unit 11  
10 stores data in the data storage unit 17, thereby terminating the process.

FIG. 18 is a flowchart of the process of transmitting data from a lower order group SA 2 to a higher order group SA 1. The flowchart shows the reverse process of the process shown in FIG. 17. That is, the data transmitting SA 2 performs the process using an individual key and a lower order group key, and the lower order group master SA decrypts and verifies data using a lower order group key, and then encrypts the data using a higher order group key. The receiving SA 1 performs the process using a higher order group key and an individual key.  
15  
20

In the description of the process shown in FIG. 17, the configuration of the electronic data storage  
25

apparatus according to the first embodiment is described. However, the processes shown in FIGs. 17 and 18 are similarly performed in the electronic data storage apparatus according to the second embodiment  
5 described by referring to FIG. 2.

Described below are the methods of storing electronic data (electronic documents) using an individual key, utilizing a group key in a group, generating amendment detection information (message 10 authentication code (MAC)) for the electronic data, generating a key, etc.

FIG. 19 shows the method of storing an electronic document using an individual key. In FIG. 19, when an instruction to store an electronic document is 15 issued to an electronic data storage apparatus, a MAC is generated using the individual key and the electronic document, and the MAC and the electronic document are stored.

FIG. 20 shows the process of transmitting and 20 receiving data between two electronic data storage apparatuses belonging to the same group. In FIG. 20, the transmitting electronic data storage apparatus A re-computes the MAC, verifies an electronic document, computes the MAC corresponding to a group key and the electronic document, and transmits the MAC and the  
25

electronic document to the electronic data storage apparatus B.

Then, the electronic data storage apparatus B receives the MAC and the electronic document, verifies 5 the contents of the MAC using the group key, computes the MAC corresponding to the individual key and the electronic document if the verification result is correct, and stores the computed MAC and the electronic document.

10 FIG. 21 shows the method of computing amendment detection information MAC for electronic data described by referring to FIGs. 19 and 20. In computing the MAC, the DES (data encryption standard) adopted by the US Standard Institute for use in 15 encrypting electronic data is used. In this encrypting method, the encrypting/decrypting process can be performed by one LSI.

20 In FIG. 21, the original data is divided into 64-bit blocks M<sub>1</sub>, M<sub>2</sub>, ..., M<sub>n</sub>. The DES process is performed on the first 64-bit block M<sub>1</sub> using a key, for example, an individual key. An exclusive logical sum of the resultant 64-bit data and the next 64-bit block M<sub>2</sub> is obtained.

25 Then, the DES process is performed again on the result using, for example, an individual key, and a

64-bit result is obtained. The similar process is continued. Among the resultant 64-bit results, the higher order 32 bits are obtained as the amendment detection information MAC. The computation of the  
5 amendment detection information MAC is not limited to the above described method, but can be obtained using other algorithms.

FIG. 22 shows a common method of generating a key. In FIG. 22, for example, when the above  
10 described group master generates and distributes an individual key of an electronic data storage apparatus belonging to its group, the DES process is performed using the information identifying each electronic data storage apparatus, for example, an ID and an  
15 individual key of the group master as a seed key. An individual key corresponding to each storage apparatus can be generated and distributed as a new key. As described above, a new key can be similarly generated with two keys, for example, a maker key and a manager  
20 key, associated with each other.

An individual key can be distributed online using a key distributing server or a GKMF (group key management frame work) based on the authentication using a public key. A medium such as a floppy disk,  
25 an IC card, etc. can also be used to distribute the

key offline.

The GKMF is performed to set and manage a key by assigning a certificate based on the public key authentication to each group member. The  
5 authentication using a public key refers to the system that two parties authenticate each other by obtaining the third party's guarantee (electronic signature) for a public key using an authentication station as the reliable third party.

10 FIG. 23 shows the generation and the distribution of a group key. In FIG. 23, for example, there are two groups 1 and 2, and each group has a group master and three subordinate SAs. In FIG. 23, for example, a group master first generates a group master key (Gm  
15 key) using its own individual key, an I key, and the ID of the electronic data storage apparatus to which it belongs, then generates a group key and a G key using the Gm key and the ID of the group, and distributes the group key to the subordinate SAs.

20 The group key is stored in the group key storage unit in each SA, and managed by a combination of an ID and a key for identifying each group. Normally, plural combinations of a group key and an ID for identifying a group are stored because an SA belongs  
25 to a plurality of groups and it is necessary for a

lower order group master SA described by referring to FIG. 16 to store a higher order group key and a lower order group key. In addition to a combination of a group key and an ID, an attribute such as the IP address, the name of an electronic data storage apparatus in a group, etc. can be simultaneously managed.

In FIG. 23, the communications are established between the groups 1 and 2 using a session key (S key). The session key is a private key shared among, for example, group masters based on a public key certificate. A public key is used for communications with a plurality of different groups, managed by a plurality of, for example, group masters as with the case of a group key, and can be stored such that a reliable third party can confirm the authentication based on a public key certificate indicated by the ITU-TX509 of the International Telecommunications Union.

FIG. 24 shows the method of managing the entire system through group management SA when there are a plurality of groups each comprising a plurality of SAs. In FIG. 24, there are three groups A, B, and C. Each group contains a main electronic data storage apparatus, that is, a group master.

A group management SA (group management and electronic data storage apparatus) manages group masters SA of respective groups. For example, as shown in FIG. 14, an individual key of a group master  
5 SA is generated and distributed to each group master SA. Thus, by providing a group management SA for managing a plurality of groups, communications can be established with any of a number of groups even through a global network such as the Internet, etc.

10 Finally described by referring to FIG. 25 is the process of loading a program for realizing the electronic data storage apparatus with a key management function according to the present invention onto a computer. In FIG. 25, a computer 25 stored in  
15 a secure case comprises a body 26 and memory 27, and a program can be loaded onto the body 26 from a secure portable storage medium 29. A program can also be loaded from a program provider through a network 28.

Programs for use in performing various processes  
20 in the electronic data storage apparatus within the scope of the claims of the present invention, programs for transmitting and receiving data between electronic data storage apparatuses, and programs shown in each flowchart are stored in, for example, a secure memory  
25 27, and executed by the body 26. The secure memory

27 can be a hard disk, etc.

Programs for use in transmitting and receiving data between electronic data storage apparatuses are stored in the secure portable storage medium 29, 5 loaded onto the secure computer 25, thereby establishing communications. The secure portable storage medium 29 can be a secure memory card, floppy disk, CD/ROM, optical disk, magneto-optical disk, etc. Furthermore, programs for establishing data 10 communications can realize the data communications by being loaded after transmitted to the computer 25 in a secure case from a program provider through the network 28.

The embodiments of the present invention have 15 been described above in detail. However, the present invention is not limited to the above descriptions. It is obvious that the present invention can be represented by various other embodiments within the scope of the claims of the invention.

20 As described above in detail, the electronic data storage apparatus has the function of managing a key, thereby storing, transmitting, and receiving electronic data in any applicable environment with the security of important electronic documents guaranteed.

**What is claimed is:**

1. An electronic data storage apparatus for storing electronic data, comprising:

5 key management means for managing an individual key unique to the electronic data storage apparatus to which said means belongs, and a common key shared with other electronic data storage apparatuses; and  
10 encryption means for performing an encrypting process on electronic data stored in the electronic data storage apparatus to which said means belongs using the individual key, and performing an encrypting process using the common key or with data verification on electronic data transmitted to or received from  
15 another electronic data storage apparatus.

2. The apparatus according to claim 1, wherein  
said key management means manages a group key as  
the common key to be shared in a group of a plurality  
20 of electronic data storage apparatuses.

3. The apparatus according to claim 1, wherein:  
a main electronic data storage apparatus exists  
in the group;  
25 said encryption means of said main electronic

data storage apparatus generates an individual key of each electronic data storage apparatus in the group using an individual key of the apparatus to which said means belongs; and

5           said generated individual key is distributed to each electronic data storage apparatus belonging to the group.

4.       The apparatus according to claim 2, wherein:

10          a main electronic data storage apparatus exists in the group;

              said encryption means of said main electronic data storage apparatus generates a group key to be shared in the group using an individual key of the apparatus to which said means belongs; and

15          said generated group key is distributed to each electronic data storage apparatus belonging to the group.

20       5.       The apparatus according to claim 2, wherein:

              a main electronic data storage apparatus exists in the group;

              said encryption means of said main electronic data storage apparatus generates a group key to be shared in the group with a key preliminarily assigned

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as the individual key to said main electronic data storage apparatus associated with a new key externally specified; and

5       said generated group key is distributed to each electronic data storage apparatus belonging to the group.

6.      The apparatus according to claim 2, wherein:  
10       a main electronic data storage apparatus exists in the group, and an electronic data storage and management apparatus for managing respective main electronic data storage apparatuses in a plurality of groups exists;

15       said encryption means of said electronic data storage and management apparatus generates an individual key of each of said main electronic data storage apparatuses using an individual key of the apparatus to which said means belongs; and

20       said generated individual key is distributed to each of said main electronic data storage apparatuses.

7.      The apparatus according to claim 2, wherein  
25       said key management means manages, in addition to said group key as the common key, a public key for use in transmitting electronic data to and receiving

it from an electronic data storage apparatus belonging to a group different from a group of the electronic data storage apparatus to which said means belongs.

- 5       8. The apparatus according to claim 1, wherein  
          said individual key is preliminarily assigned to  
each electronic data storage apparatus before use of  
the apparatus.
- 10      9. The apparatus according to claim 1, wherein:  
          said encryption means generates the individual  
key with a key preliminarily set before use of the  
apparatus to which said means belongs with a new  
externally specified key; and  
15      said key management means manages the generated  
individual key.
10.     The apparatus according to claim 1, wherein  
          said key management means manages, in addition  
20     to the individual key and the common key, a master key  
          to be shared by all electronic data storage  
apparatuses.
- 25     11. The apparatus according to claim 10, wherein:  
          said encryption means generates the individual

key by encrypting information identifying the apparatus to which said means belongs using the master key; and

5       said key management means manages the generated individual key.

12. The apparatus according to claim 11, wherein:  
a main electronic data storage apparatus exists  
in a group of a plurality of electronic data storage  
apparatuses;

10      said encryption means of said main electronic data storage apparatus generates a group key as the common key by encrypting information identifying the group using the generated individual key; and

15      said generated group key is distributed to each electronic data storage apparatus belonging to the group.

13. The apparatus according to claim 1, wherein:  
20      a hierarchical structure of electronic data storage apparatuses is designed as having a group of a plurality of electronic data storage apparatuses as one hierarchical level; and  
25      said key management means manages a group key as the common key depending on a hierarchical level of

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a group containing the electronic data storage apparatus to which said means belongs.

14. The apparatus according to claim 13, wherein:

5           in the hierarchical structure of the electronic data storage apparatuses, an electronic data storage and management apparatus for managing electronic data storage apparatuses in a lower order group exists in a group at one level higher than the lower order group;

10           said encryption means of said electronic data storage and management apparatus generates a group key for the lower order group using the individual key of the apparatus to which said means belongs; and

15           said generated group key is distributed to the electronic data storage apparatuses in the group at one level lower.

15. A method of managing electronic data in an electronic data storage apparatus in a hierarchical structure having a group of a plurality of electronic data storage apparatuses as one hierarchical level, comprising the steps of:

20           a transmitting electronic data storage apparatus in one hierarchical level of the hierarchical

- structure re-encrypting data, encrypted using an individual key which is unique to and stored in the apparatus, using a higher order group key corresponding to the hierarchical level, and  
5 transmitting the re-encrypted data to an electronic data storage and management apparatus for managing the electronic data storage apparatuses in a group at one hierarchical level lower;
- 10 said electronic data storage and management apparatus for managing a lower group of electronic data storage apparatuses verifying the received data using the higher order group key;
- 15 re-encrypting the electronic data using the lower order group key corresponding to one hierarchical level lower if the electronic data is correct as a result of the verification, and transmitting the data to a receiving electronic data storage apparatus in the group at one level lower;
- 20 said receiving electronic data storage apparatus verifying received data using the lower order group key; and
- 25 re-encrypting and storing received data using an individual key unique to the apparatus if the electronic data is correct as a result of the verification.

16. A method of managing electronic data in an electronic data storage apparatus in a hierarchical structure having a group of a plurality of electronic data storage apparatuses as one hierarchical level,  
5 comprising the steps of:
- a transmitting electronic data storage apparatus in one hierarchical level of the hierarchical structure re-encrypting data, encrypted using an individual key which is unique to and stored in the  
10 apparatus, using a lower order group key corresponding to the hierarchical level, and transmitting the re-encrypted data to a lower order group electronic data storage and management apparatus for managing the electronic data storage apparatuses in the group;
- 15 said electronic data storage and management apparatus for managing a lower group of electronic data storage apparatuses verifying the received data using the lower order group key;
- re-encrypting the electronic data using the  
20 higher order group key corresponding to one hierarchical level higher if the electronic data is correct as a result of the verification, and transmitting the data to a receiving electronic data storage apparatus in the group at one level higher;
- 25 said receiving electronic data storage apparatus

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verifying received data using the higher order group key; and

re-encrypting and storing received data using an individual key unique to the apparatus if the  
5 electronic data is correct as a result of the verification.

17. A method of storing electronic data in an electronic data storage apparatus for storing the  
10 electronic data, comprising the steps of:

communicating electronic data using a common key shared with other electronic data storage apparatuses;  
and

15 performing an encrypting process using an individual key unique to an electronic data storage apparatus on data to be stored in the electronic data storage apparatus.

18. The method according to claim 17, wherein  
20 said electronic data storage apparatus stores as the common key a group key shared in one group of a plurality of electronic data storage apparatuses;  
a transmitting electronic data storage apparatus transmits electronic data after re-encrypting using  
25 the group key the data stored in the apparatus and

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encrypted using the individual key;

a receiving electronic data storage apparatus verifies the received electronic data using the group key; and

5 when the electronic data is correct according to a result of the verification, said electronic data is re-encrypted using the individual key and stored.

19. The method according to claim 17, wherein

10 said electronic data storage apparatus belonging to a group of electronic data storage apparatuses stores as the common key a public key of an electronic data storage apparatus belonging to another group of a plurality of electronic data storage apparatuses;

15 a transmitting electronic data storage apparatus transmits electronic data after re-encrypting using the public key the data stored in the apparatus and encrypted using the individual key;

20 a receiving electronic data storage apparatus verifies the received electronic data using a private key which is a pair to the public key; and

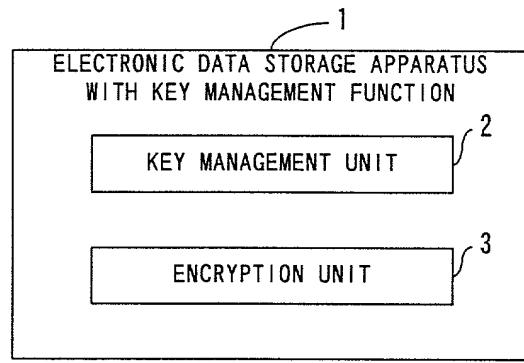
when the electronic data is correct according to a result of the verification, said electronic data is re-encrypted using the individual key and stored.

20. A computer-readable storage medium used in an electronic data storage apparatus and storing a program to direct a computer to execute the steps of:  
5        verifying stored electronic data using an individual key unique to the electronic data storage apparatus; and  
10      transmitting the electronic data to a receiving apparatus after re-encrypting the electronic data using a common key shared with the receiving apparatus when a result of the verification is correct.
21. A computer-readable storage medium used in an electronic data storage apparatus and storing a program to direct a computer to execute the steps of:  
15      verifying externally received electronic data using a common key shared with a transmitting apparatus of the electronic data; and  
20      re-encrypting the electronic data using an individual key unique to the electronic data storage apparatus and storing the data when a result of the verification is correct.

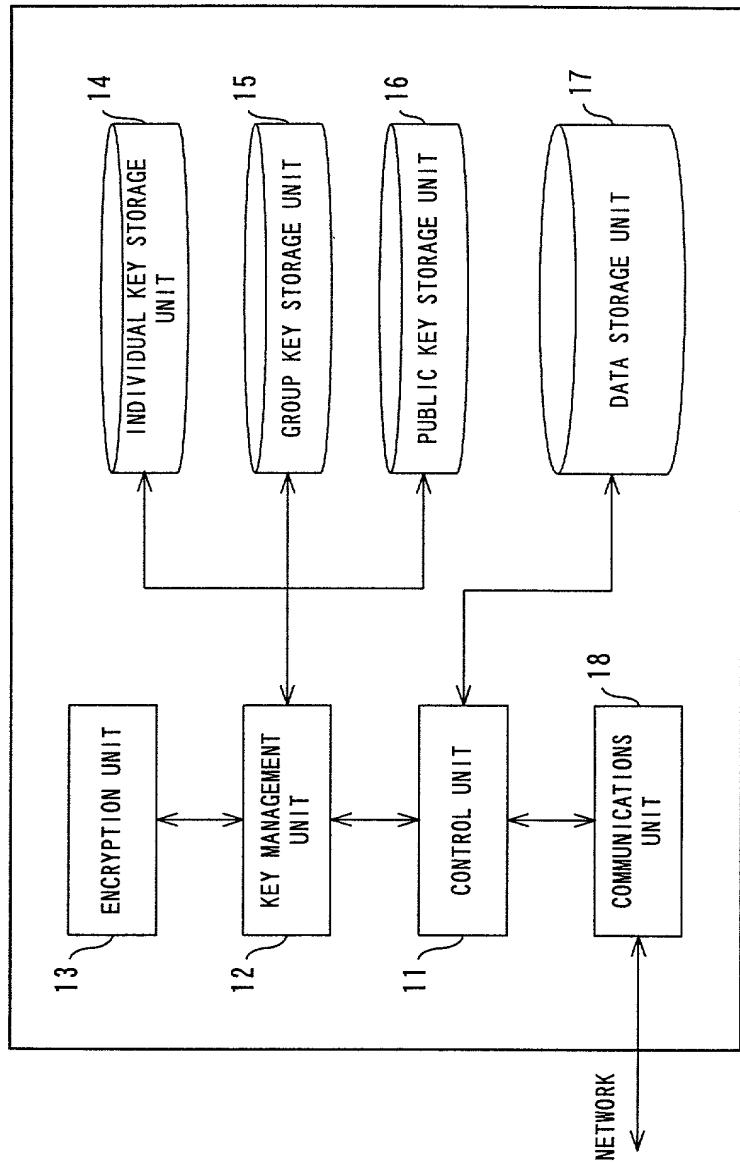
**Abstract of the Disclosure**

A storage apparatus includes a key management unit for managing an individual key unique to the apparatus and a common key shared with other storage apparatuses, and an encryption unit for performing an encrypting process or verifying data for performing the encrypting process on electronic data stored in the apparatus to which the unit belongs using the individual key, and performing the encrypting process or verifying the data on the electronic data transmitted to or received from another apparatus using the common key. Thus, the apparatus communicates data using an applicable common key in a local environment and a global environment, appropriately manages a key in each environment, and guarantees the security of the electronic data.

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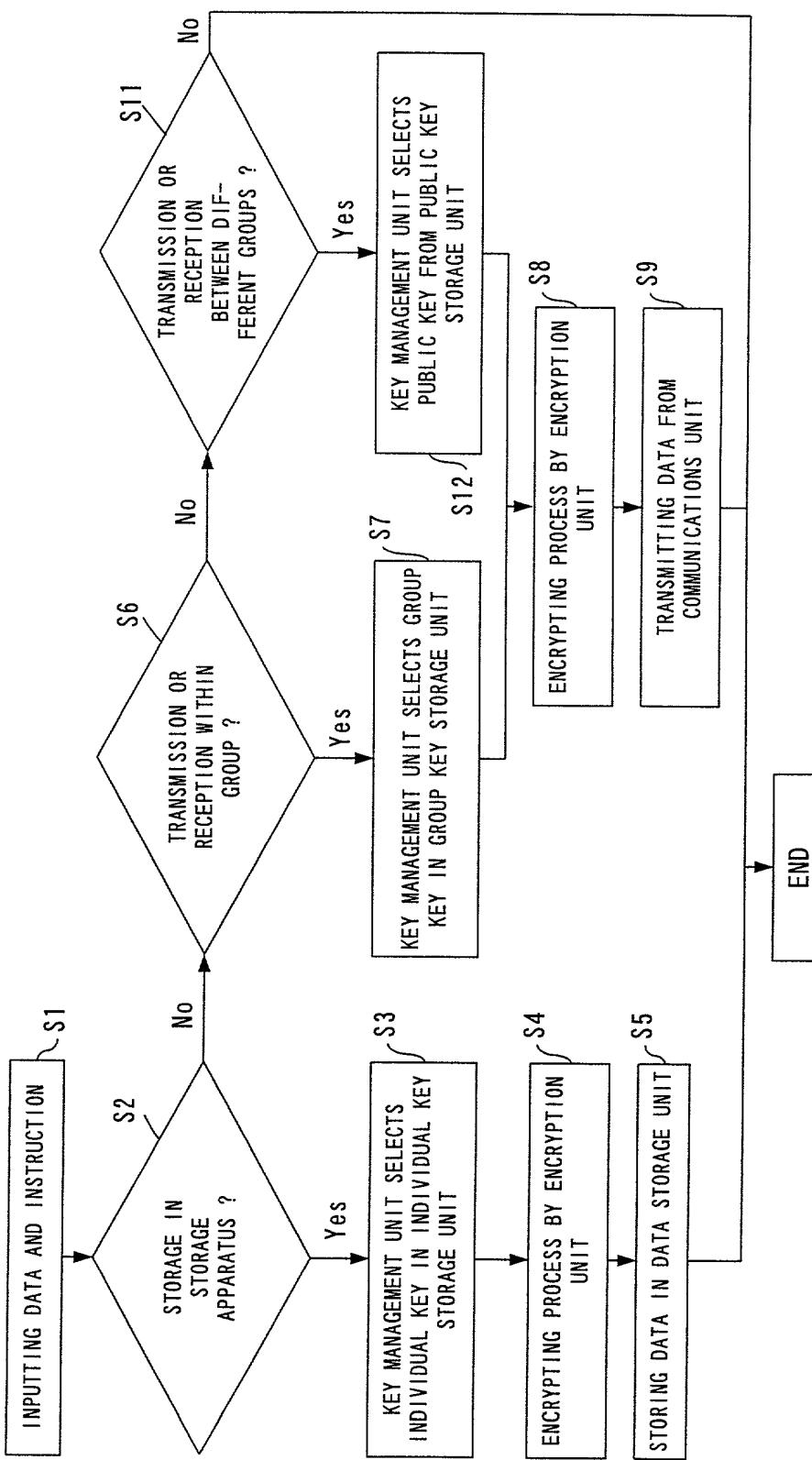


F I G. 1



ELECTRONIC DATA STORAGE APPARATUS WITH KEY MANAGEMENT FUNCTION

FIG. 2



F I G . 3

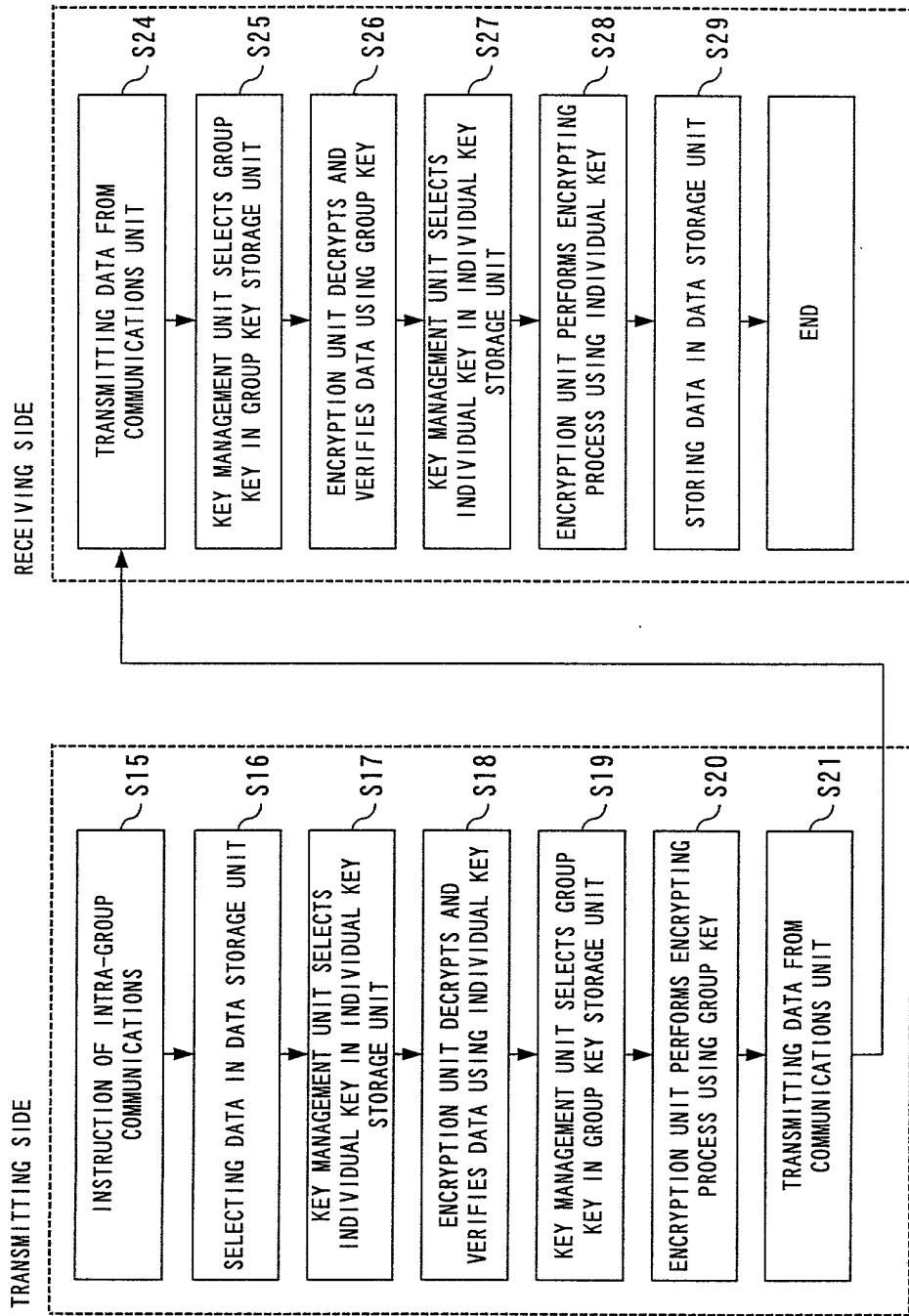


FIG. 4

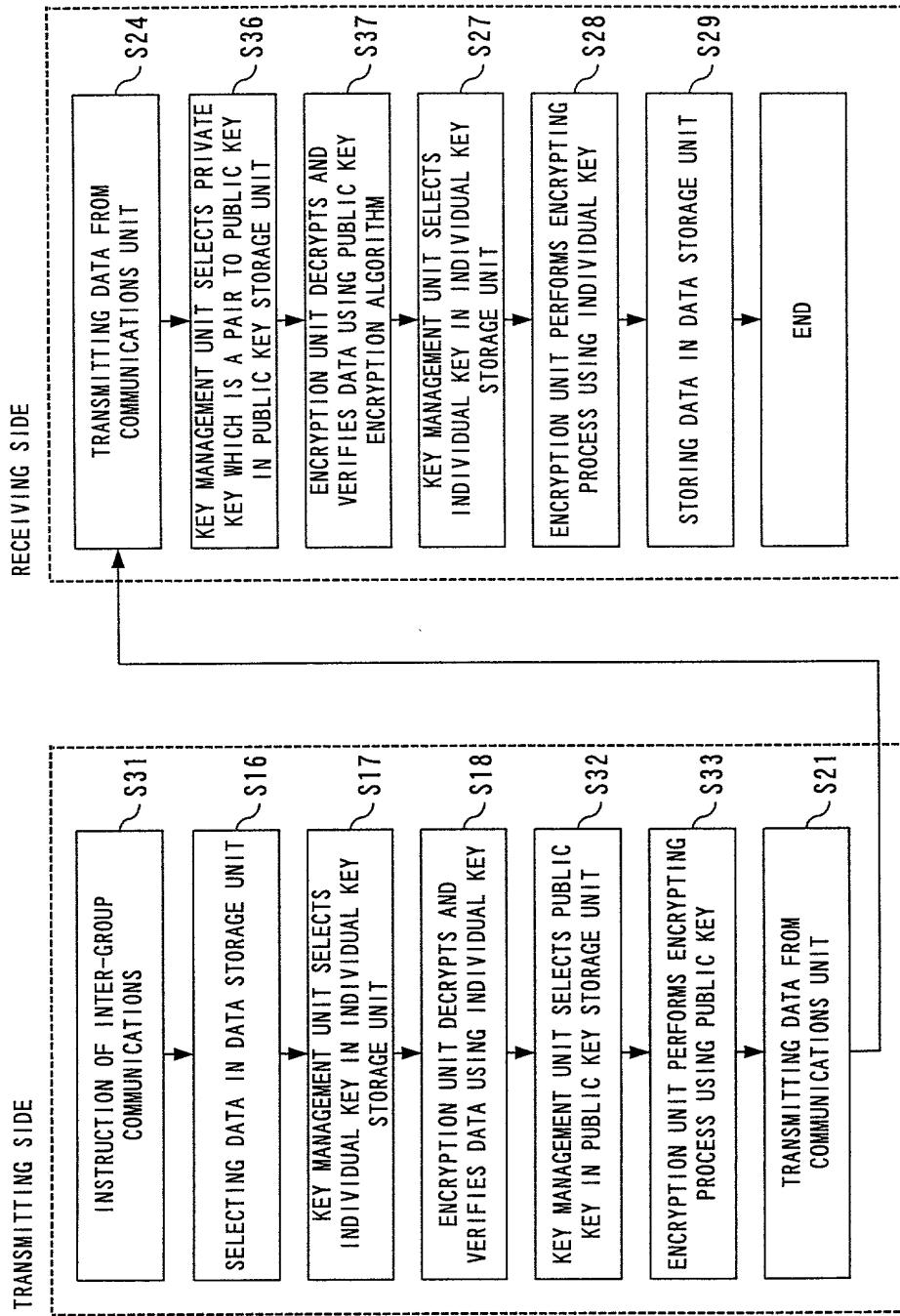
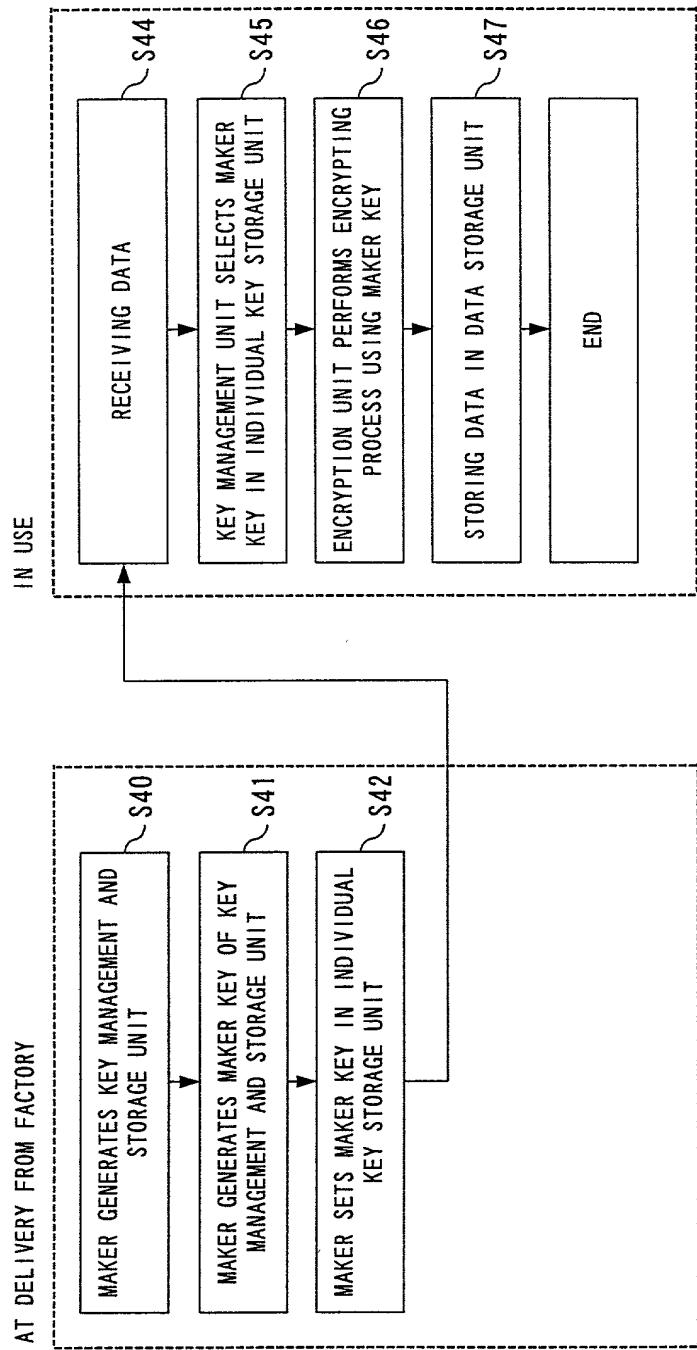
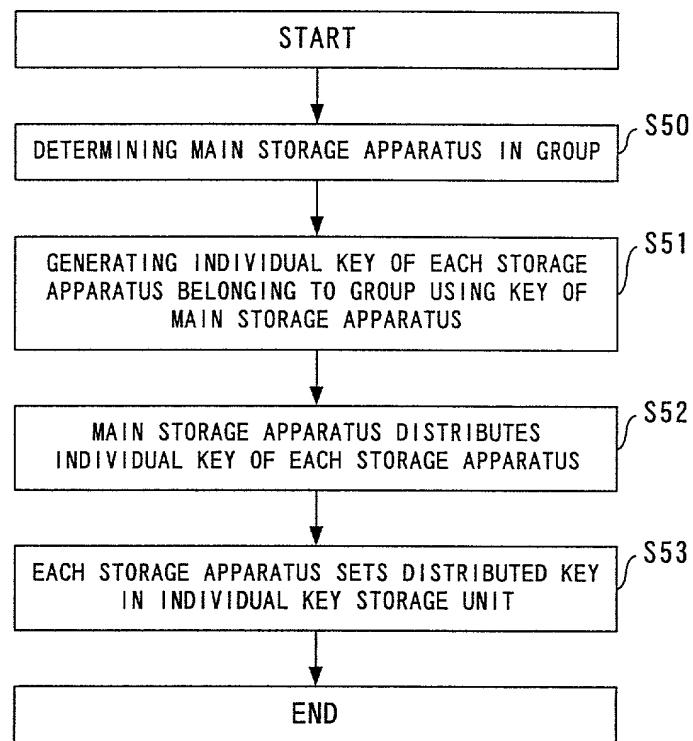


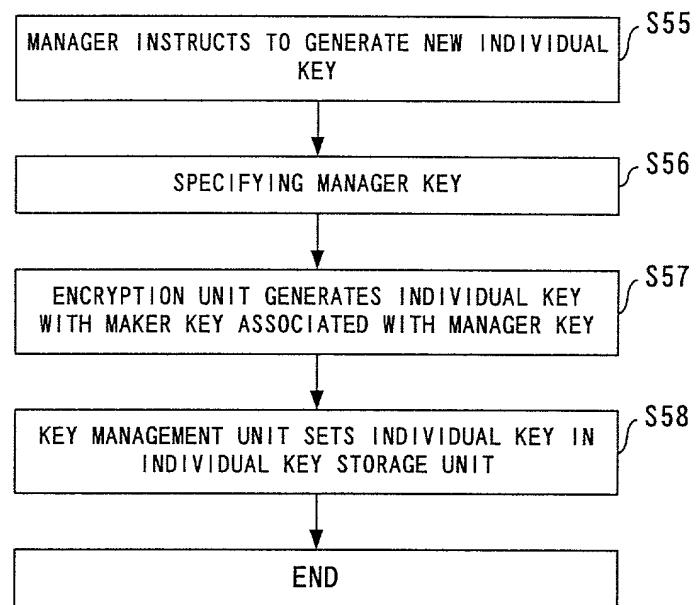
FIG. 5



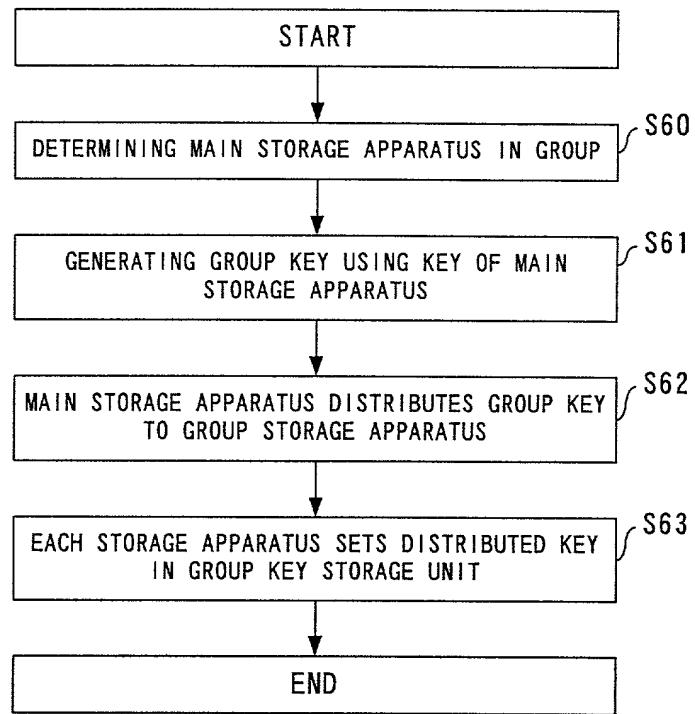
F I G. 6



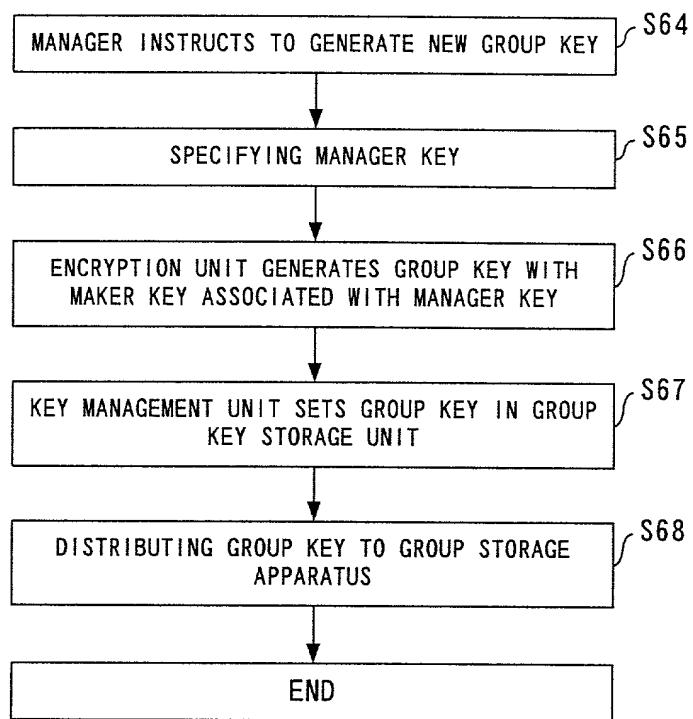
F I G. 7



F I G. 8



F I G. 9



F I G. 10

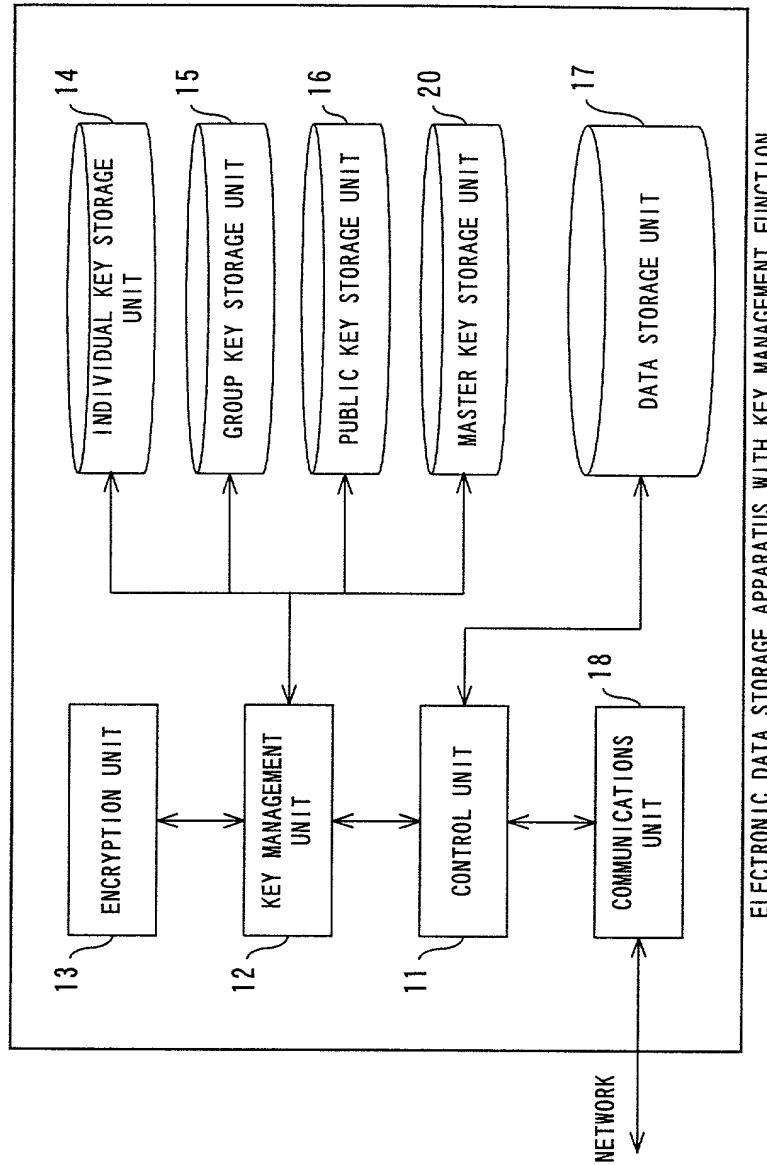
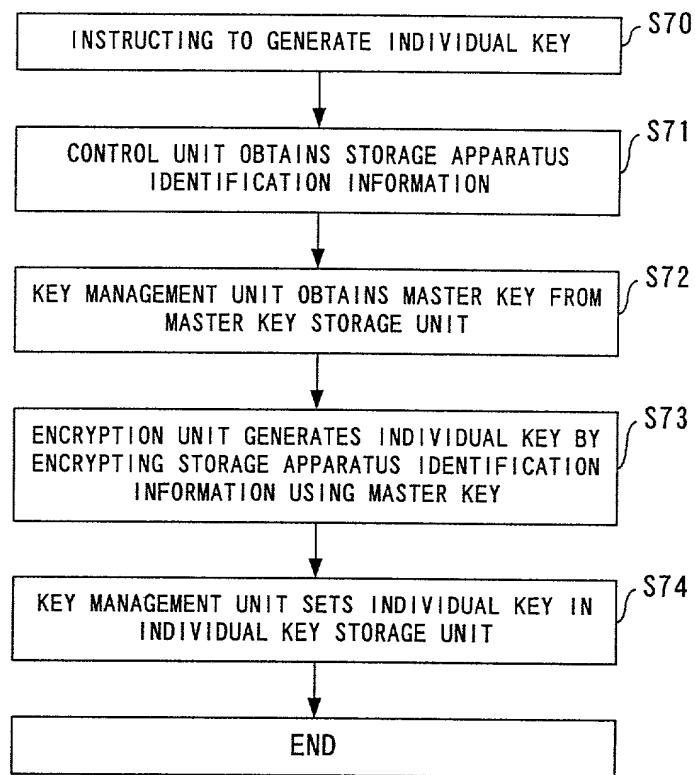


FIG. 11



F I G. 12

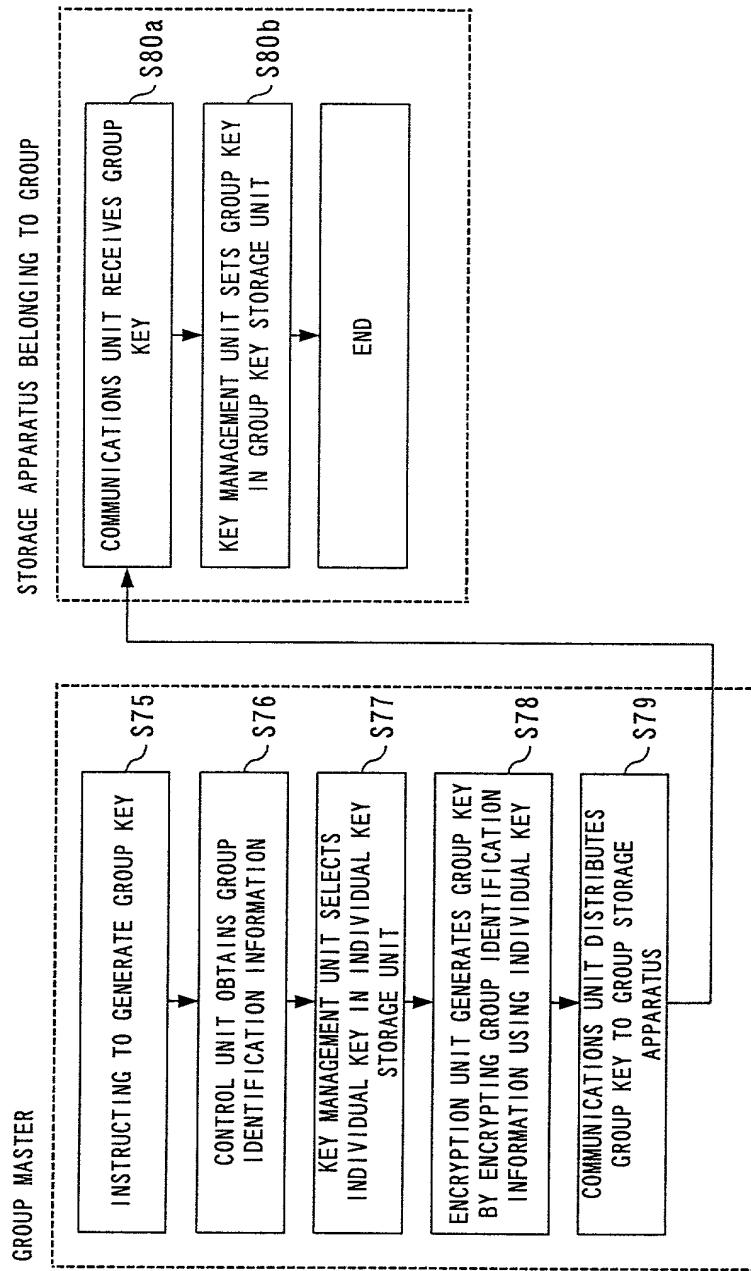
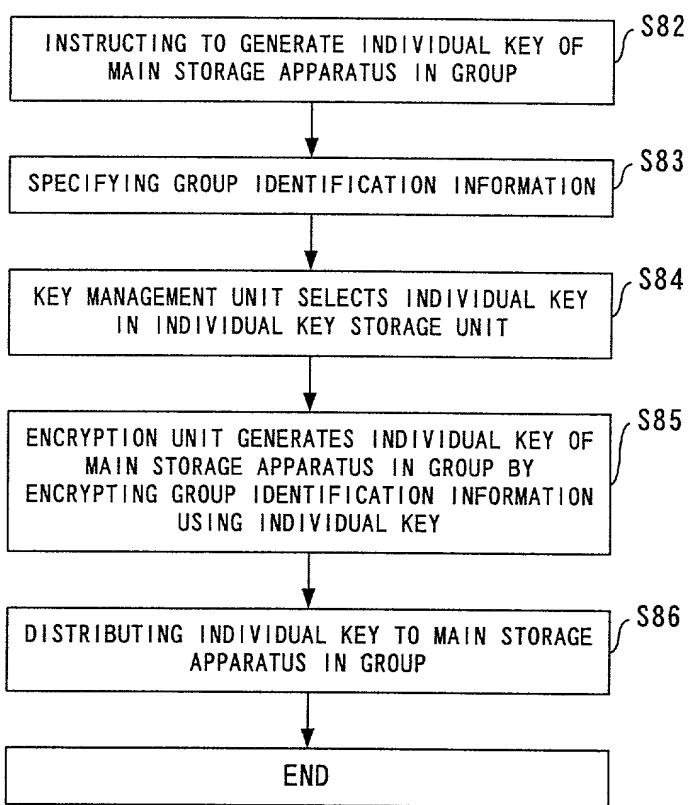
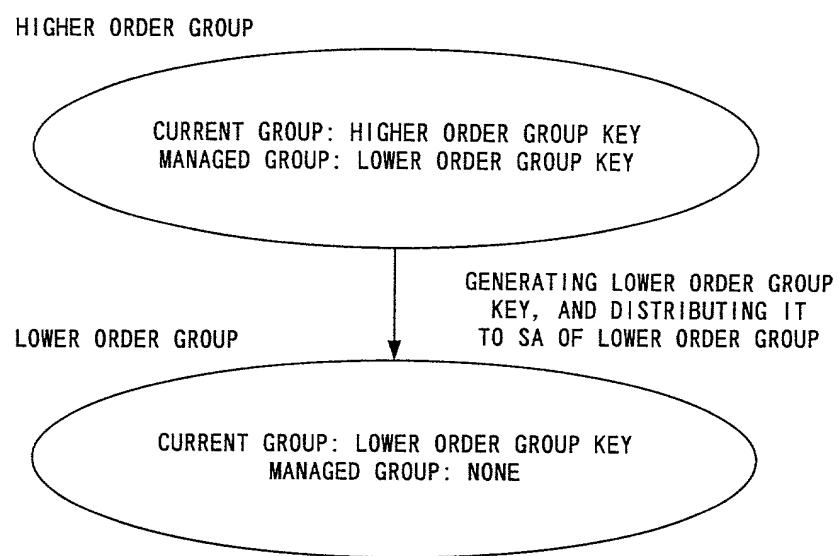


FIG. 13



F I G. 14

FIGURE 15



F I G. 15

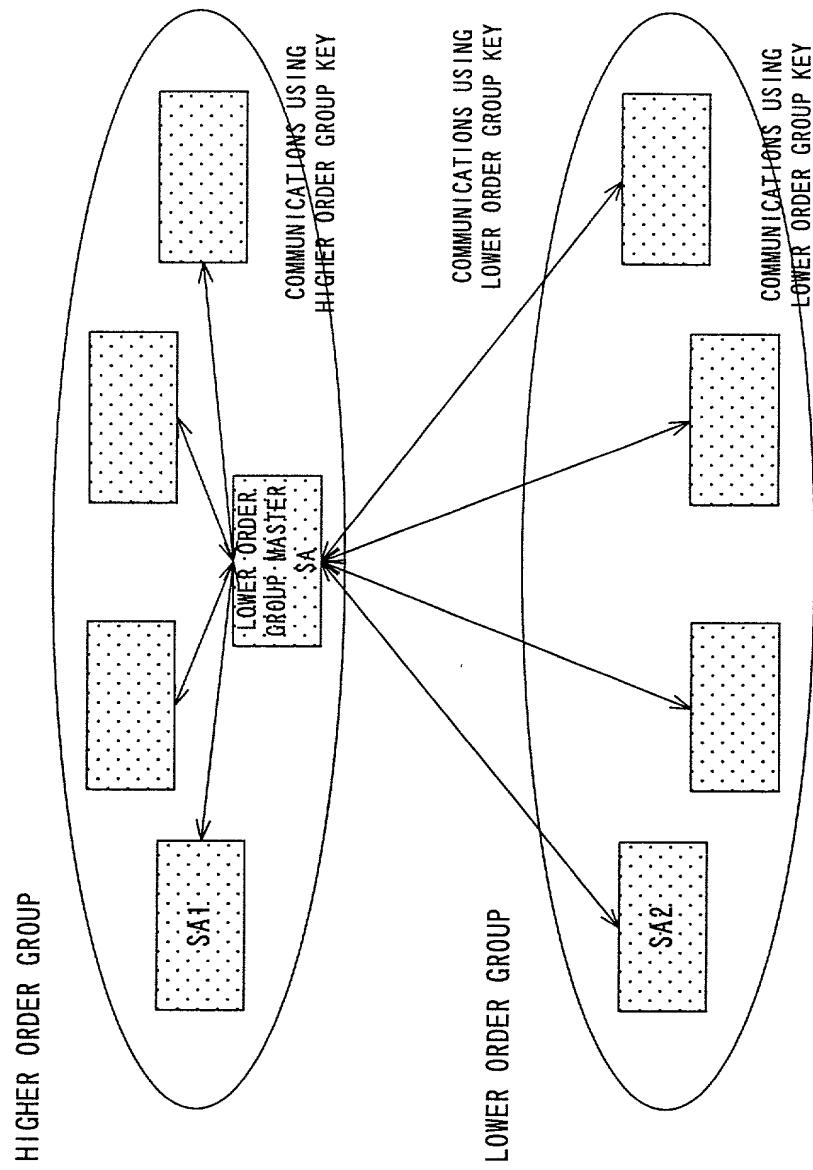
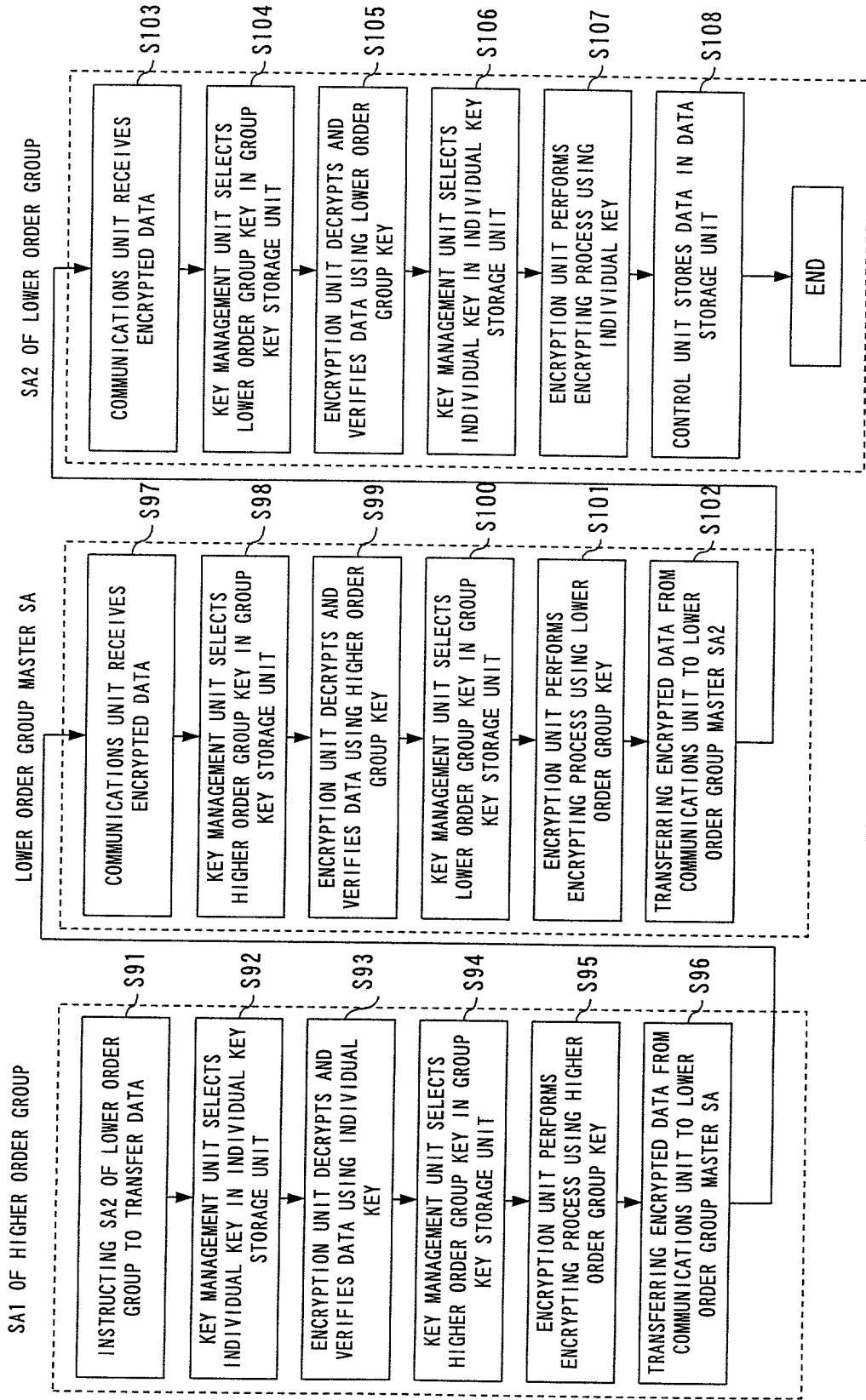


FIG. 16



F I G. 17

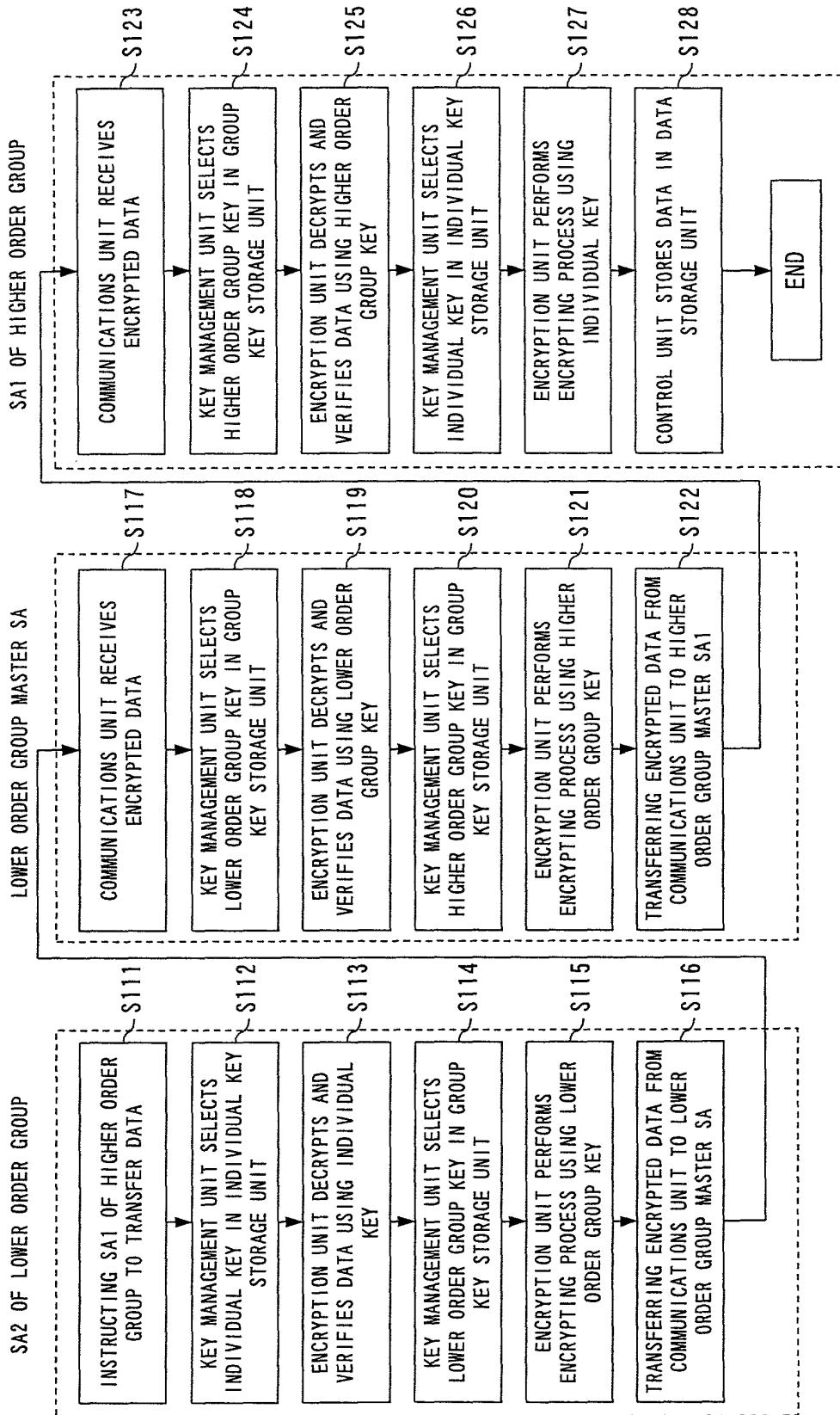
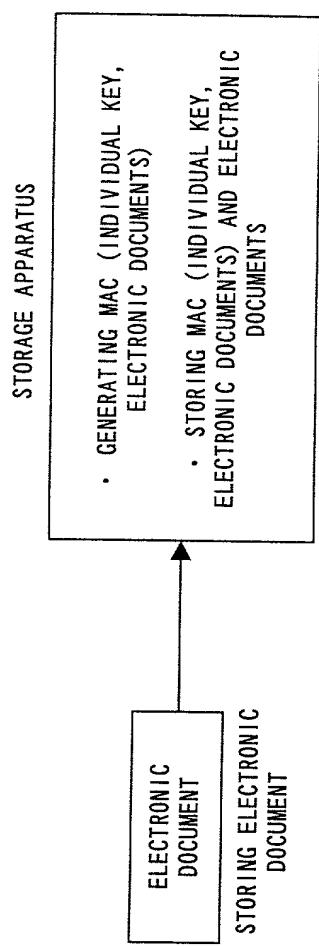


FIG. 18

F I G. 19



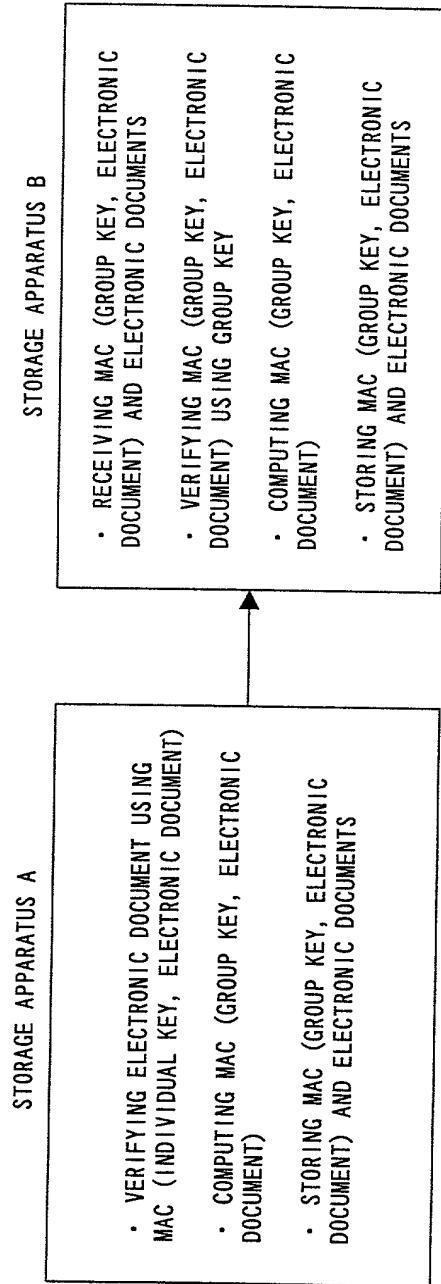


FIG. 20

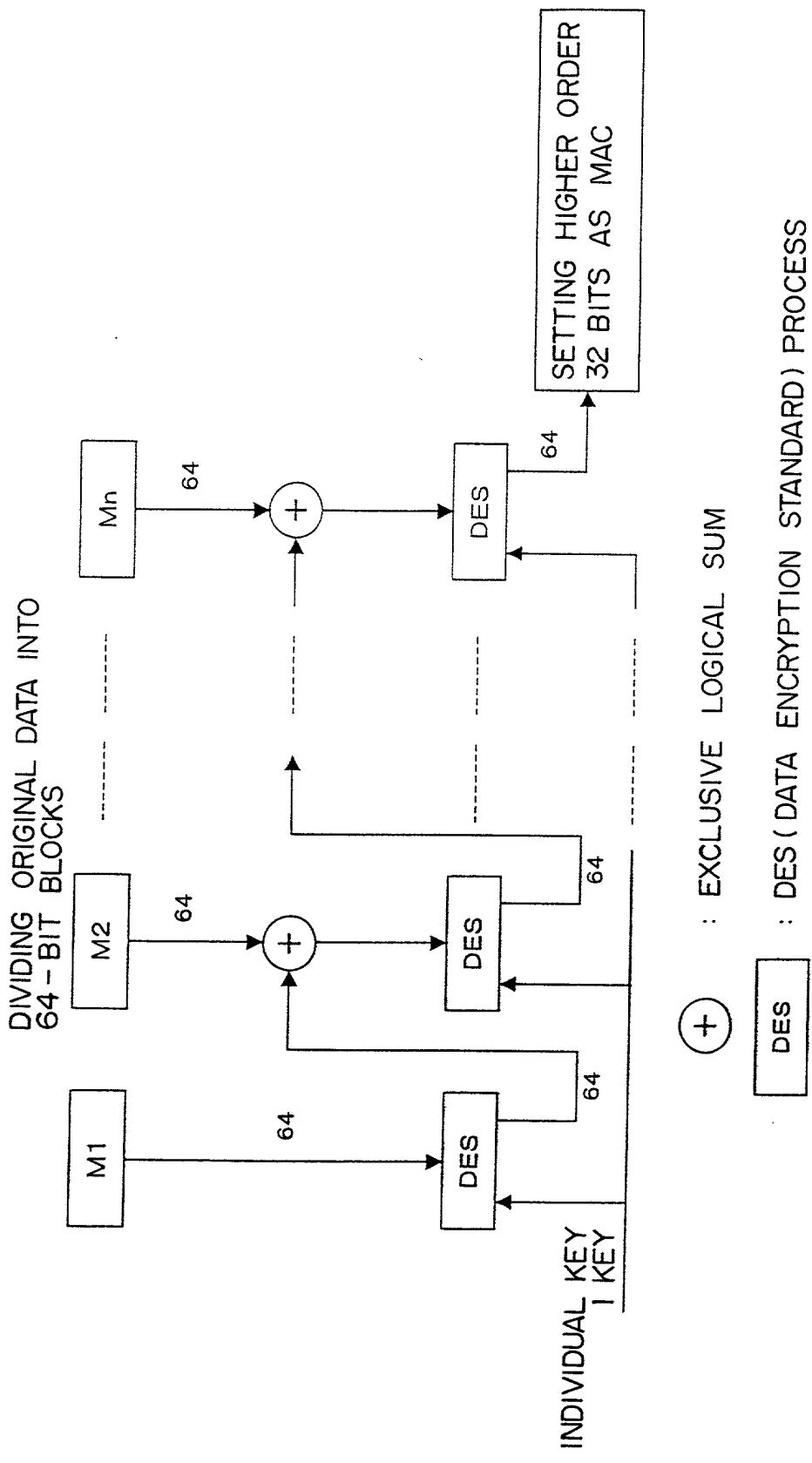


FIG. 21

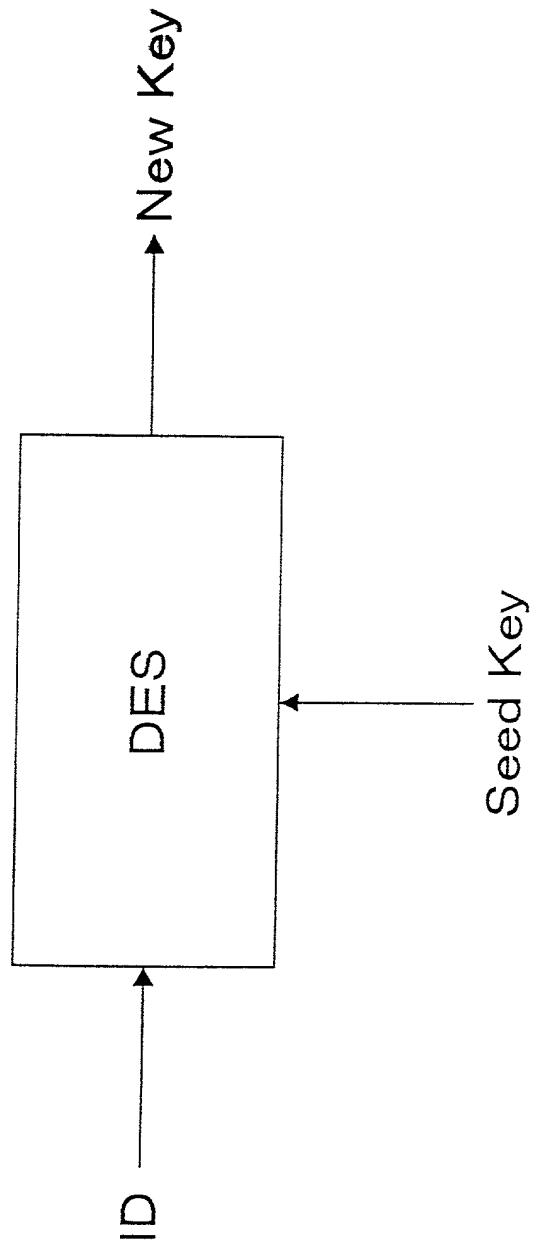
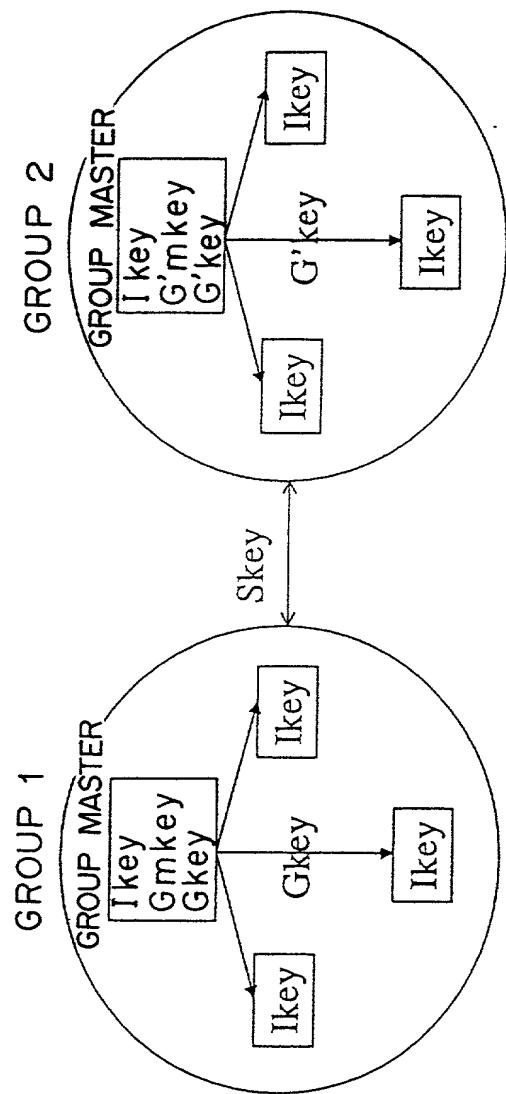
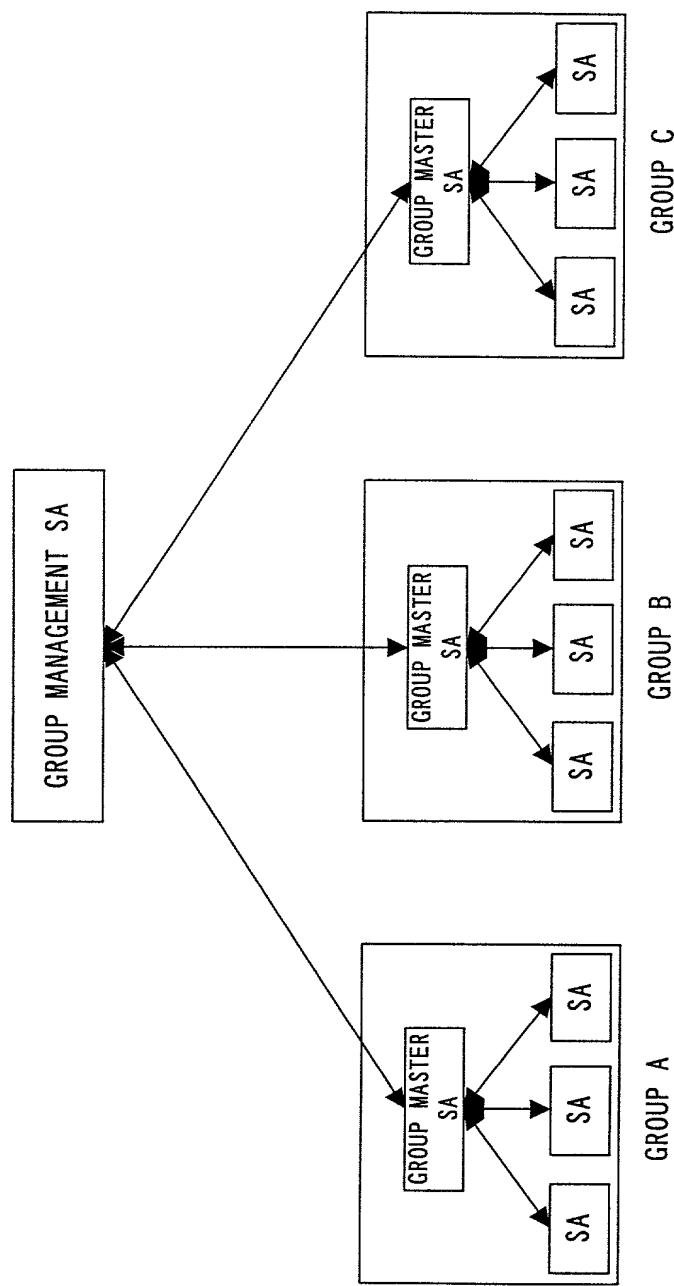


FIG. 22

FIG. 23



F I G. 24



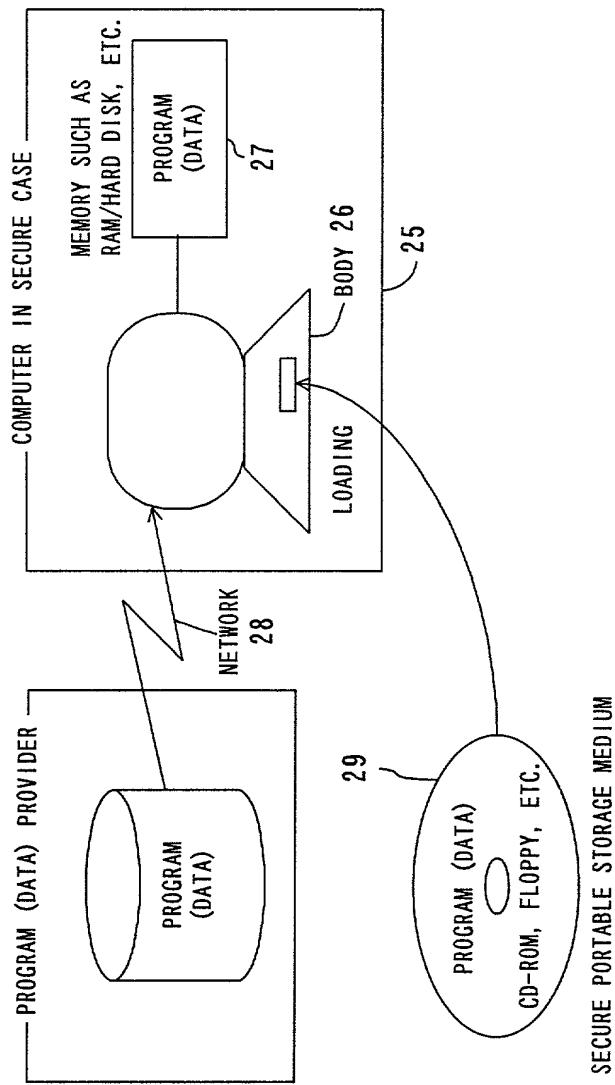


FIG. 25

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Declaration and Power of Attorney For Patent Application**

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named Inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

ELECTRONIC DATA STORAGE APPARATUS WITH  
KEY MANAGEMENT FUNCTION AND ELECTRONIC  
DATA STORAGE METHOD

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

月 日に提出され、米国出願番号または特許協定条約  
国際出願番号を とし。  
(該当する場合) に訂正されました。

was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
and was amended on  
\_\_\_\_\_  
(if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一ヵ国を指定している特許協力条約365条(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している。本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

**Prior Foreign Application(s)**

外国での先行出願  
10-360345

(Number)  
(番号)

Japan  
(Country)  
(国名)

(Number)  
(番号)

(Country)  
(国名)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

**Priority Not Claimed**  
**優先権主張なし**

18th/December/1998

(Day/Month/Year Filed)  
(出願年月日)



(Day/Month/Year Filed)  
(出願年月日)



私は、第35編米国法典119条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

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(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況: 特許可済、係属中、放棄済)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況: 特許可済、係属中、放棄済)

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委任状： 私は下記の発明者として、本出願に関する一切の手続を米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。（弁護士、または代理人の氏名及び登録番号を明記のこと）

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

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